

## PURPOSE-BASED BENCHMARKS†

**J. Gustafson**

SUN MICROSYSTEMS, INC., USA

### Summary

We define an approach to benchmarking, *Purpose-Based Benchmarks*, which explicitly and comprehensively measures the ability of a computing system to reach a goal of human interest. This contrasts with the traditional approach of defining a benchmark as a task to be timed, or as the rate at which some activity is performed. Purpose-Based Benchmarks are more difficult to create than traditional benchmarks, but have a profound advantage that makes them well worth the trouble: They provide a well-defined quantitative measure of the *productivity* of a computer system.

### 1 Introduction

Purpose-Based Benchmarks provide a means for assessing computer productivity. The focus of this paper is the motivation and methods for designing such benchmarks. Elsewhere in this volume, [Faulk *et al.* 2004] make use of this benchmark approach as a core component of productivity analysis, and focus on human factors analysis and the measurement of software life cycle costs.

#### 1.1 BENCHMARK DESIGN GOALS

Benchmarks are tests of computer systems that nominally serve two main purposes [Kahan 1997]:

- 1) to help potential users of systems estimate the performance of a system on their workload, prior to purchase, and
- 2) to help system designers optimize their designs before finalizing their choices.

Implicit in both of these is the idea that the benchmark is low-cost or quick, compared to running a full

customer workload (or actually building a system.) However, some historical benchmarks have ignored this common-sense aspect of benchmarking and have created tests that cost many man-months of effort and over a hundred thousand dollars to run. Ideally, benchmarks should maximize the amount of guidance they provide for the least possible effort.

The most common aspect of a computer that benchmarks test is “speed.” However, speed on a computer is not a well-defined measure. Computer “speed” lacks the properties we demand of metrics in physics, like meters per second or degrees Kelvin [Snelling 1993]. The reason is that computer speed is “work” divided by time and computer “work” is not well defined. The standard workaround for this is to attempt to define a fixed task as the work, and consider only the reciprocal of the execution time as the figure of merit that we call “speed.” Another common approach is to treat quantities like “transactions” or “floating-point operations” or “logical inferences” as atomic units of work that can be used as the numerator in the speed definition. However, this approach fails because the quantities being counted vary greatly in individual complexity and difficulty, and hence cannot be used as scientific units.

#### 1.2 THE BENCHMARK-WORKLOAD GAP

Every benchmark sets up an adversarial relationship if the benchmark designer and the benchmark user are different parties. Even if a test is used within a company to assess a proposed design, the engineers are highly motivated to show that their choices yield favorable results. However, the primary tension of benchmarking is between marketing departments and potential customers. As soon as a benchmark becomes widely used, the feature subset that it exercises becomes overvalued. This sets up a dynamic in which the vendor can reduce its costs by neglecting parts of workloads that are not tested by the benchmark, even though they might be quite important to the customer.

One response to this is to create a large suite of tests, in the hope that somehow every aspect of a workload is covered. However, this raises the cost of running the benchmark, and might not succeed in covering the total workload. SPEC and PERFECT Club are examples of efforts at achieving general workload coverage through the amassing of program examples that seem qualitatively different in some respect. It takes only slightly longer for vendors to tune their offerings in such a way that they perform well on these suites but not on applications in general.

The message here is one for the benchmark designer: Assume people will exploit any difference between the

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† This work is supported by the DARPA HPCS Phase II program, NBCH3039002

benchmark and the actual workload, because there will always be motivation to use a benchmark not as a scientific tool but as a persuasion tool. Put another way, the consumer of benchmark information thinks: “This only tests a subset of the machine properties, but I can probably assume that the untested things are similar in performance.” The benchmark measurer thinks: “This only tests a subset of the machine properties, so I can sacrifice the performance of everything that isn’t tested.” Both situations exploit the benchmark-workload gap.

The following examples show the types of things the author has watched go wrong in benchmarking because of the adversarial tension:

*Example:* A benchmark allows reporting of the best performance achieved, not the median or mean. Therefore, the benchmark measurer runs it twenty times and manages to find and report the rare case that performs 30% better than it does on average.

*Example:* A benchmark requires that 64-bit floating-point data be used throughout, but only checks that the answer is correct to a few decimals. Therefore, the benchmark measurer uses quick approximations for all of the intrinsic functions like  $\sin(x)$ ,  $e^x$ , and  $\log(x)$ , each valid to only four decimal places, saving 20% of the run time.

*Example:* A benchmark states a large set of activities to perform, but only asks that some of the results be printed or displayed. Therefore, the benchmark measurer uses a compiler that tacitly eliminates any code that does not affect the output, effectively “running” large portions of the benchmark in no time at all.

*Example:* A small (kernel) benchmark, like many benchmarks, only measures the execution time but not the time to *create* the executable code. Therefore, the benchmark measurer uses a compiler that requires four days to exhaustively optimize the kernel operation, and the program then runs in 11 seconds instead of 16 seconds. The benchmark measurer is further able to boast that no assembly language or hand tuning was needed to achieve this 45% speed improvement.

*Example:* A benchmark measures both processor time and the time for I/O from disk, and thereby captures the time for scratch I/O, the time to read in the problem description, and the time to write out the final answer. But it doesn’t time the ten minutes it takes to load the program into an 8000-processor system with a poorly-designed operating system... so what’s claimed as a 14-minute run really took 24 minutes total.

*Example:* A benchmark requires that only a single processor be tested. Therefore, the benchmark measurer disables the processors in an eight-processor architecture while leaving their caches and memory controllers active, allowing the entire benchmark to fit into the local cache and run in half the time it would for any configuration that a person would actually elect to use.

*Example:* A benchmark measures a standalone system, since the statistical effect of other users who share the system seems difficult to incorporate into the benchmark rules. So, a person who buys a system based on the standalone benchmark is alarmed to discover that the system takes two minutes to roll one task out and another task in, making the system far less productive in actual use than the benchmark predicted. The system designers had no motive to make context switching fast since they knew it was not measured by the benchmark.

*Example:* A benchmark based on an application program always uses the same input data, even though the application program is capable of running a large range of possible inputs. Therefore, after the benchmark has been in common use for about three years, the benchmark measurers have learned to make that specific data set run fast even though doing so has reduced the average speed for the full range of possible input.

Specific names of institutions and people who have employed these techniques are not given in these examples, because the intent is not to assign blame. The intent is to illustrate how traditional benchmark design inevitably leads to such distortions.

As the benchmark designers discover each of these unintended consequences of their imprecise rules, they add rules to stop people from abusing the test in that particular way. This is the approach of the SPEC consortium, for example. Enforcement of such rules is difficult or impossible in some cases.

A better approach is to *define the benchmark in a way that aligns with real computer use so closely, that “cheating” is no longer cheating*. Any method found to run a real workload faster or better is inherently a legitimate design improvement and not a cheat. Where there is no gap between workload and benchmark, no cheating is possible. Section 2 will describe this approach in detail.

### 1.3 PRINCIPLES FOR REPORTING RESULTS

The High-Performance Computing (HPC) community is surprisingly lax in applying standards of scientific reporting. When a scientific paper is published announcing an experimental result, the authors expect to be held accountable for the validity of their claims. Yet, many HPC benchmarks are reported with anonymous, undated database entries that are not reproducible by third parties. If a clever technique is invented that allows a higher score, the submitters are in many cases allowed to keep their technique secret so that it becomes a competitive advantage; consumers of the data are misled that one system is superior, when the superiority actually lies in the cleverness of the programmer.

In general, we should demand the following of any benchmark report:

- 1) The date the test was made
- 2) Who ran the test and how they may be contacted
- 3) The precise description of what the test conditions were, sufficient that someone else could reproduce the results within statistical errors
- 4) The software that was used, and an explanation for any modifications made to what is generally available as the definition of the benchmark
- 5) An accounting of *cost*, including the published price of the system and any software that was used in the run.
- 6) An accounting, even if approximate, of the amount of time spent porting the benchmark to the target system.
- 7) Admission of any financial connections between vendor and the reporter; was the system a gift? Do they work directly for the vendor or for a contractor of the vendor?
- 8) The range of results observed for the test, not just the most flattering results. The reporters should reveal the statistical distribution, even if there are very few data points.

The last several requirements go beyond the reporting needed for scientific papers. They must, because of the direct marketing implications of the reports. Scientific papers usually don't imply purchasing advice, the way benchmark reports do. The bar for benchmark reporting must be even higher than it is for scientific publishing.

### 1.4 A MINIMAL REPRESENTATIVE SET

Benchmark suites tend to grow beyond the size they need to be, for the simple reason that users refuse to believe that their requirements are represented unless they see a part of the suite that uses their same vocabulary and seems superficially to resemble their own workload.

Thus, a program for finding the flow of air around a moving automobile might solve equations very similar to those of a weather prediction model, and use the same number of variables and operations, but the automotive engineer would refuse to accept a weather benchmark as predictive. Thus, benchmark components proliferate until they become almost unmanageable.

When the underlying demands of a workload are analyzed into machine-specific aspects like cache misses, fine-grain parallelism, or use of integer versus floating point operations, applications look even more similar than one might think. For example, even “compute-intensive” problems rarely contain more than 5% floating-point operations in their instruction traces, and 2% is typical. [IBM 1986] Our intuitive prejudice that each technical application area deserves its own *operation mix* may not withstand scrutiny.

The more profound differences that need to be representative are things like *problem size*. In circuit design, for example, 95% of the work might be small jobs that run in a few minutes and easily fit into a 32-bit address space; but the other 5% involve full-chip simulations that require 64-bit addressing and a very different set of system features to run well. Yet, both are in the “EDA market.”

To use a transportation analogy, the difference in performance of two cars in commuting a distance of 20 miles is not apt to be very great, and probably does not depend that heavily on the city for which the commute is measured if the cities are about the same size. However, imagine the time to commute into a town of 2000 people being used to predict the time to commute into a town of two *million* people. It's patently absurd, so we know better than to lump those measures together into a single category called “commuting workload.”

Getting the problem size right is perhaps the most important single thing to match in using a benchmark to predict a real workload. This is especially important in the HPC arena. Yet, it is usually the first thing to be thrown out by benchmark designers, since they want a small problem that is easy to test and fits a wide range of system sizes.

## 1.5 BENCHMARK EVOLUTION

**1.5.1 Activity-Based Benchmarks.** For decades, the benchmarks used for technical computing have been *activity-based*. That is, they select certain operation types as typical of application codes, then describe an example of those activities with which to exercise any particular computer. When accuracy is considered at all, it is not reported as a dimension of the performance. Current examples include

**STREAM:** Measure the time for simple vector operations on vectors of meaningless data, of a length chosen to exercise the main addressable memory. Assume answers are valid. The activity measure is “bytes per second.” Confusion persists regarding whether distributed memory computers are allowed to confine their byte moves to local memory [McCalpin].

**GUPS:** Measure the rate at which a system can add 1 to random locations in the addressable memory of the computing system. The activity measure is “updates per second.” Confusion persists regarding whether distributed memory computers are allowed to confine their updates to local memory, and the level of correctness checking. While the latest official definition is the RandomAccess benchmark, this definition is quite different from TableToy, the original benchmark that measures GUPS.

**LINPACK:** Measure the time to use a 1980s-style linear equation solver on a dense nonsymmetric matrix populated with random data. Measure answer validity, but discard this information in reporting and comparing results. The activity measure is “floating-point operations per second.” [Dongarra]

The inadequacy of these activity-based tests for tasks (1) and (2) above is obvious. Neither the activities nor the metrics applied to them have anything to do with actual user workloads. Their only merit is that they are very easy to run. Scientists and engineers understand that these are simply activity rates, but many take it on faith that activity rates correlate with the ability of the computer to help them solve problems.

The LINPACK benchmark, which survives mainly as a “Top 500” ranking contest, uses rules that place the measurement of activity above the measurement of accomplishing a purpose. We now have methods for solv-

ing linear equations, based on Strassen multiplication, that run in less time *and* give results that are more accurate. However, the maintainers of the LINPACK rankings explicitly forbid Strassen methods, because Strassen methods invalidate the floating-point operation count. That is, the activity measure uses the older Gaussian elimination method to determine the operation count. Strassen methods require fewer operations to get the answer. Getting better answers faster via a better algorithm is a violation of LINPACK rules.

**1.5.2 Application Suite Approach.** In an effort to make benchmarks more representative than these small kernel operations, benchmark designers have collected existing application programs and packaged them as benchmarks [SPEC, Pointer 1990]. This packaging generally includes:

- 1) Insertion of timer calls so that the program times its own execution. (“Execution” does not include the time to compile the program or load it into a system; sometimes it does not even include the time to load initial data from mass storage or write the answer to mass storage.)
- 2) An effort to restrict the source text to the subset of the language likely to compile correctly on a wide range of commercial systems.
- 3) A set of rules limiting what can be done to obtain flattering timings.
- 4) A collection of results from other systems to use for comparison.
- 5) A specific data set on which to run the application, usually selected to be small enough to fit on the smallest systems of interest at the time the benchmark is declared.

There is usually little attention to answer validity [Kahan 1997]. Sometimes an example output is provided, and the person performing the run must examine the output and decide if the answers are “close enough” to the example output to be acceptable.

**1.5.3 Accommodation of New Architectures.** As parallel computers such as the Thinking Machines CM-1, Intel iPSC, and nCUBE 10 became available as commercial offerings, users found existing benchmark approaches inadequate for prediction and comparison with traditional architectures. Users and designers alike found themselves retreating to raw machine specifications like peak FLOPS and total memory, since those metrics were determinable and applied as systems scaled from uni-processors to thousands of processors.

In making the “application suite” benchmark approach apply to parallel systems, one is immediately

faced with the question of how to scale the benchmark without losing the experimental control over what is being compared. Obviously, larger systems are for running larger problems; however, application suites invariably fix the size of the problem and have no way to compare the work done by a large system with the smaller amount of work done by a small system

The NAS Parallel Benchmarks are typical in this respect; every time they need a different benchmark size, they must produce a new set of sample output to use as the correctness test [Bailey and Barton, 1991]. This means the NAS Parallel Benchmarks are not, in fact, scalable. They are simply different benchmarks available in different sizes. The new architectures demanded scalable benchmarks where the “work” could be fairly defined and measured.

**1.5.4 Attempts at Algorithm Independence.** In the early 1990s, technical benchmarks were introduced that showed alternatives to activity-based benchmarking, and allowed true scaling of the problem.

SLALOM [Gustafson *et al.*, 1991] had a kernel similar to LINPACK, but fixed the execution time at one minute and used the amount of detail in the answer (the number of patches in the domain decomposition for a radiosity computation) as the figure of merit. It was language-independent, allowed any algorithm to be used, and included the time for reading the problem from mass storage, setting up the system of equations, and writing the answer to mass storage. It was the first truly scalable scientific benchmark, since the problem scaled up to the amount of computing power available and was self-validating.

SLALOM had a fatal flaw: The test geometry was symmetric, and researchers eventually found ways to exploit that symmetry to reduce the work needed compared to the general case. The rules had failed to specify that the method had to work on a general geometry. The definition of answer validity (answer self-consistency to 8 decimal digits) was better than previous scientific benchmarks, but still arbitrary and not derived from real application goals. The lesson we learned from this was the need to subject algorithm-independent benchmarks to extensive preliminary review by innovative people before releasing the benchmark definitions for general use.

The HINT benchmark [Gustafson and Snell, 1995] finally established a rigorous figure of merit: The accuracy of a numerical integration. HINT scales to any size without needing comparison against a pre-computed answer set. By defining the purpose instead of the activity (“Minimize the difference between the upper and lower bound of the area under a curve”), a level playing field was finally created for fair comparison of vastly differ-

ent computer systems. The person using the benchmark can use any numerical precision, floating point or integer type. Version 1.0 of HINT is still in use; it has remained valid through six cycles of Moore’s law and shows no sign of needing redefinition. Its only shortcoming as a benchmark is that the problem it solves is of little human interest.

**1.5.5 Grand Challenges, ASCI, and HPCS.** During the 1990s, the discrepancy between HPC benchmarks and federal program goals became too great to ignore. The “Grand Challenge” program for supercomputing was so lacking in precise goal measures that it experienced a loss of congressional support for funding [Weingarten 1993, Gustafson 1995]. The ASCI program set a goal of a “100 teraflops computer by 2004,” repeating the assumption that peak floating-point activity rate, by itself, closely predicts the ability to produce useful physical simulations. The emphasis on floating-point speed created a generation of large computing systems that had high arithmetic speed but were unreliable, hard to use, and hard to administer.

Recognizing this, in the early 2000s DARPA developed a program, High Productivity Computing Systems (HPCS), to re-emphasize all those aspects of computer systems that had been neglected in the various giant computer projects of the previous decade. DARPA recognized that existing benchmarks were incapable of holistic productivity measurement, and made the development of new and better metrics a key goal of the HPCS program.

The next step in benchmark evolution became clear: Can we create benchmarks that have *rigorous definitions of progress toward a goal of real human interest*, so that they can measure the actual productivity of computer systems?

## 2 Purpose-Based Benchmarks

### 2.1 DEFINITION

A *purpose-based benchmark* (PBB) states an objective of direct interest to humans. For example, “Accurately predict the weather in the U.S. for the next three days” is a starting point for a more precise description of something to be done, and a complete PBB supplies both English and mathematical definitions of the task and the figure of merit. It does *not* tell how to accomplish the task with a computer, leaving the benchmark measurer free to use any means available. Whatever technique is used, however, must be supplied in such a way that any other benchmark measurer could use that technique if

they wished, or else the benchmark results are not considered valid and publishable.

For those who wish to test a computer system without testing the program development effort, a PBB provides a set of reference implementations. This is simply to allow people to focus on the execution performance if they wish to ignore program development effort. It is very important that the productivity of a novel architecture *not* be measured starting from an inappropriate reference implementation. If a system demands a starting point that is not similar to one of the reference implementations, then the time to develop an appropriate starting point must be measured separately as program development effort.

The hardest part of designing a PBB is to find a quantitative measure of how well a system achieves its purpose. The floating-point arithmetic used in scientific calculations yields different answers depending on the algorithm, the compiler, and the actual precision in the hardware (including registers with guard bits). Given this, how can we fairly compare completely different algorithms and architectures?

In the weather example, we can compare against physical reality. Where this is impractical, we seek tasks for which the quality of the answer can be calculated rigorously by the computer itself. In both cases, methods such as *Interval Arithmetic* can play a key role by measuring the uncertainty in the answer [Hansen and Walster, 2004]. This is in contrast, say, to measuring problem quality by the number of grid points; adding grid points does not always increase physical accuracy in simulations. We are accumulating problems for which both the physics and the solution method are sufficiently rigorous that the answer can be bounded or self-checked, because that provides a quantitative measure of how well a program meets its purpose.

Note that the PBB approach can measure productivity using legacy codes and does not require a completely new program to be written, for those problems where a quantitative measure of answer quality can be rigorously defined. The primary departure from the conventional use of legacy codes as benchmarks is that one must measure aspects other than just the execution time. For example, a PBB statement for electronic design might be to design an  $n$ -bit adder and test it, with the purpose of making the circuit fast, small, and provably correct. Users of electronic design codes do not mainly write their own software, but obtain it from independent software vendors. Hence, a PBB for electronic design could compare existing software packages to see which one results in the best productivity for the complete task of designing the adder.

Making data-specific tuning is obviously impossible in the case of predicting the weather. Note that there is

no need to state what kind of arithmetic is used (like 64-bit or 32-bit floating point), since any arithmetic that accomplishes the purpose is legitimate. Benchmark measurers are encouraged to be economical in the activity performed by the computer, so doing more operations per second is no longer a goal in itself. In fact, aiming for more operations per second might lower the score on a PBB because it leads one to use less sophisticated algorithms [Gustafson 1994].

## 2.2 ACCEPTABILITY FUNCTIONS

The concept of *Utility* is defined in game theory [Luce and Raiffa, 1957]. It is a scalar ranging from  $-\infty$  to  $+\infty$  that attempts to quantify the value humans place on outcomes so that different strategies can be compared. We use a related concept here, that of *Acceptability*. The *Acceptability Function* of an aspect of a computer system is *the fraction of users (in a particular field) who deem the system acceptable*. Unlike *Utility*, *Acceptability* ranges from 0 to 1. *Acceptability Functions* were first proposed as a way to give quantitative meaning to the vague goals of the U.S. “Grand Challenge” computing program [Gustafson 1994].

*Acceptability Functions* quantify the nonlinearity of the utility of many computer features. For example, if one computes  $\pi$ , having only one digit of accuracy in the result is unacceptable for most applications; six digits might suffice for most applications, but 60 digits of  $\pi$  is seldom worth ten times as much to a user as six digits! The *Acceptability* climbs from near zero (unacceptable) for single-digit accuracy to near unity (completely acceptable) for six or seven digits of accuracy.

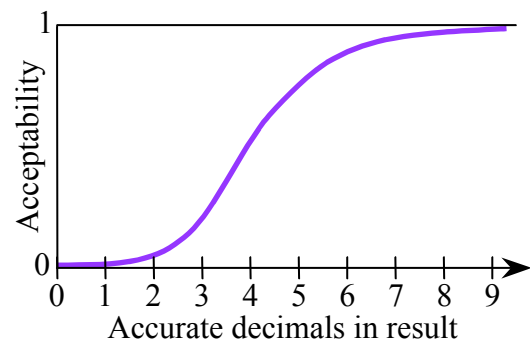


Figure 1. Acceptability Function Example

Here are some qualities of computer systems that are amenable to Acceptability function analysis:

- Reliability (fraction of runs that complete satisfactorily)

- Availability (fraction of the time that the system can be used for the problem)
- Precision (relative or absolute accuracy of the results)
- Time to port or develop the application
- Time to boot the system from a cold start
- Time to load the system with the application
- Time to execute the application
- Acquisition cost of the system
- Operational cost per hour of the system (or cost of each run), including all administrative costs
- Space required (footprint or volume) for physical system
- Power required and heat dissipated

For all of these aspects, each user has numbers  $X$  and  $Y$  for which they will say “Worse than  $X$  is unacceptable. Better than  $Y$  and we don’t care.” Instead of guessing about those numbers, or letting them be implied and unstated, we can declare the assumptions for each application area and let users in that area debate their correctness. If a user says “The cheaper your system, the better,” he has implied a linear utility for the system cost and a lack of concern, say, with reliability.

There’s an old saying in marketing: “Fast, cheap, and good... pick any two.” We can actually give this idea serious treatment by requiring that  $\prod A_i$  be at least, say, 0.9 for all the Acceptability functions  $A_i$ . If computer procurements were stated in such terms, there would be much better communication of requirements between users and system designers.

The aforementioned problems with repeatability, time to compile, reliability, time to load, and so on, can all be incorporated into an *Acceptability Product*. The Acceptability Product is the product of the Acceptability Functions. If a particular aspect is more important, then it can be given greater weight simply by making its function steeper or altering where it changes from 0 to 1.

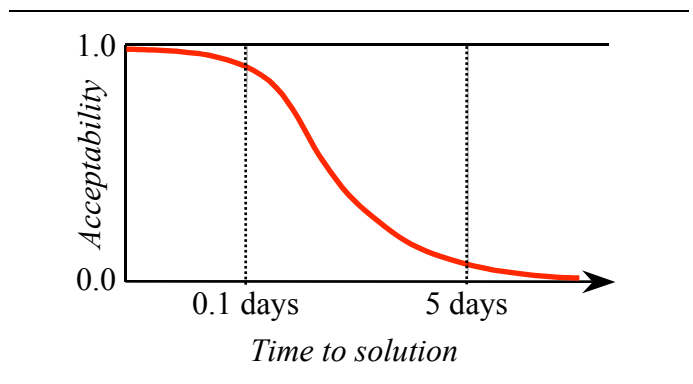
What traditional benchmarking does not take into account is that this nonlinearity applies to *execution time*... and that the Acceptability curve varies widely from one application area to another. In fact, one might define a technical computing market as a collection of customers with similar Acceptability curves as well as similar workloads.

High-energy physicists have a culture of planning large-scale experiments and waiting *months* for the results. In using supercomputers to test the viability of theories (like quantum chromodynamics, or searching for rare events in acquired data), they show similar pa-

tience and are willing to consider computer jobs requiring months to complete. If given more computing power, they would almost certainly escalate the problem being attempted instead of doing the same task in less time. If they don’t, other scientists with more patience will make the discoveries first.

The 24-hour weather forecast must be completed and submitted in three hours, in practice. Forecasters have found that the effort to update or extrapolate stale input data becomes so arduous at some point that a 24-hour forecast would never finish or would be too inaccurate to be useful.

In military applications, like using a computer to determine whether a tank is that of a friend or a foe, any time longer than a few seconds might be fatal. On the other hand, there is little difference between taking 0.2 second and 0.1 second to compute, since human response time is then greater than the computing time. The following is a possible Acceptability function of execution time, for a particular application area:



**Figure 2. Time Acceptability Example**

This leads directly to the question of whether a benchmark has to last the same amount of time as the actual workload, in order to be representative and have predictive value. If the only way to predict the performance on a ten-month-long physics run is to test the machine for ten months, then the benchmark itself is prohibitively expensive and becomes useless in such situations. Whereas business applications quickly reach steady-state behavior that permits accurate sampling, many technical applications progress through phases that make highly varying demands on the system hardware and software. It is not simply a matter of “warming the cache” in some cases. Therefore, any simplification of HPC workloads must be validated scientifically to show that the reduced problem produces results that correlate with the actual workload.



## 2.3 EXAMPLE: PBB FOR STRUCTURAL ANALYSIS (TRUSS OPTIMIZATION)

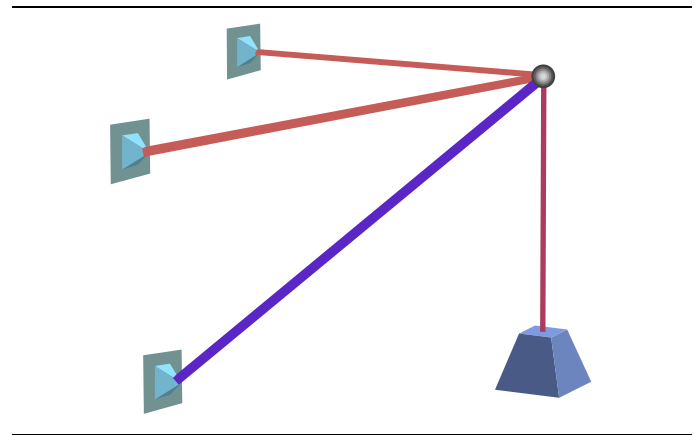
We now bring all these measurement principles to bear in a benchmark designed to capture the workload of engineers who use computers to assist in structural analysis [Mullen and Muhanna, 1999]. Typical problems in Mechanical Computer-Aided Engineering (MCAE) are

- Find the stresses and strains in a design to see if it meets requirements.
- Optimize a design for a particular feature, like low weight or low cost or high efficiency.
- Find out if the design has resonant modes that could cause failure when shaken (buildings, bridges, engines, etc.)

This motivates the purpose of the benchmark problem. We also pay attention to the other aspects of this segment that determine the other Acceptability functions. Answer validity is of extreme importance, because failures may lead to loss of life or many millions of dollars in damage. Hence, the cost acceptability function is related to the amount of damage (and losses from lawsuits) that the computer can prevent. Also, note that in the design of a large structure, the position of the parts probably must be specified to a precision comparable to other sources of errors in the part manufacturing and assembly. For example, 0.1 millimeter is probably overkill in a large structure, and 60 millimeters is probably out of tolerance. Finally, we note that mechanical engineers are accustomed to waiting several hours for an answer, even overnight, which is still not such a long time that it delays the construction of a proposed mechanical object. This takes into account the trial-and-error time needed, not just a single static solution for a proposed design.

This is the basis for the “Truss” benchmark, which we now define. It is clearly far simpler and more specific than the gamut of mechanical engineering tasks, but it appears representative of much of the workload.

**2.3.1 English Statement of Purpose.** Given a set of three attachment points on a vertical surface and a point away from the surface that must bear a load, find a pin-connected steel truss structure that uses as little steel as possible to bear the given load. The structure must be rigid, but not overdetermined. Cables and struts vary in thickness based on the forces they must bear, including their own weight and the weight of the connecting joints. Start with no added joints, which defines the unoptimized weight  $w_0$ :



**Figure 3. Initial Truss Problem, No Extra Joints**

The attachment points vary from problem to problem. *The system does not know the attachment point coordinates until the run begins.* The point in space where the load is located, the amount of the load, and the strength of the steel (tensile and compressional failure) are similarly subject to variation so that the benchmark cannot be “wired” to a particular data set.

**2.3.2 Mathematical Problem Formulation.** The benchmark is not intended to test the structural analysis expertise of a programmer; the complete definition supplies the explanation that a “domain expert” in MCAE might supply a programmer to enable the Truss program to be created.

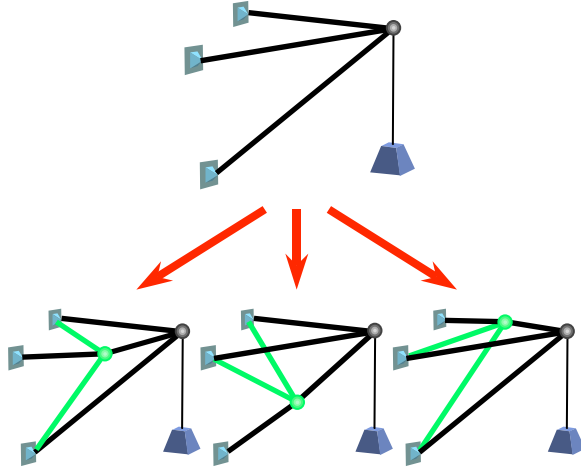
The force equilibrium requirement gives rise to a set of linear equations. The Truss PBB uses a “free body” approach. It does not require finite element analysis. However, it produces equations that have very similar structure to those used everywhere in structural analysis (positive definite, symmetric, sparse).

The net force on every joint must be zero, or else the truss would accelerate instead of being at equilibrium. (The vertical surface, being fixed, will supply a countering force to anything applied to it.) The weight of each member produces an additional downward force that is split evenly between its endpoints.

These principals, plus formulas for the strengths of struts and cables, complete the information needed to create a working Truss optimization program.

**2.3.3 Parallelization Strategies.** There is enough work in Truss to keep even a petascale computer quite busy for hours, because the set of possible truss topologies grows very rapidly with the number of joints.

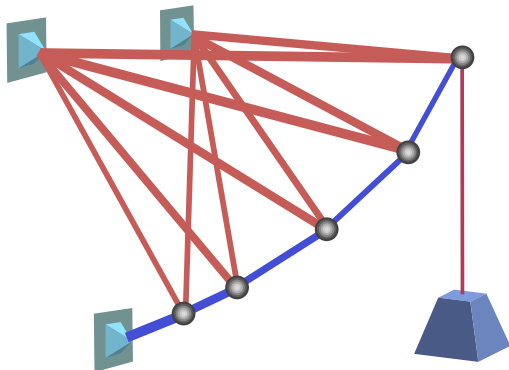




**Figure 4. Parallel Topology Optimization**

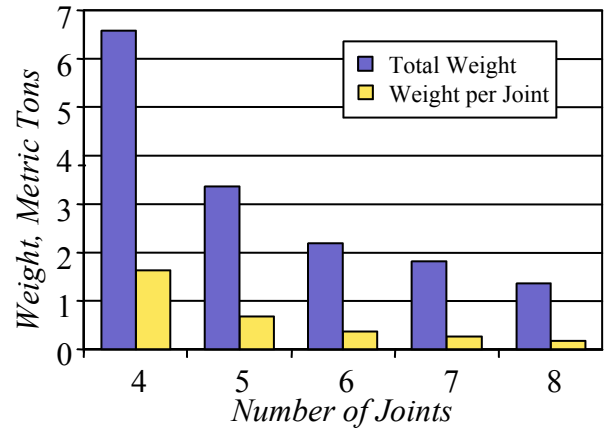
The outermost level of parallelization is the topology generation. Even a small number of joints generates billions of designs to test. The next level is the optimization of any given topology, each of which can be spread over a large set of process threads. The innermost level of parallelism is that of solving the sparse and relatively small set of linear equations. This solving is iterative because once the thickness of each truss or cable is determined from the applied forces, the resulting weights must be used to recompute the load until the solution converges. Interval arithmetic can be used to exclude certain topologies or joint positions, and this exclusion needs to be communicated to all processors so that future searching can be “pruned” [Hansen and Walster, 2004]. Load balancing and constant global communication create a representative challenge for large-scale scientific computers.

Figure 5 shows a truss that was optimized by an early form of this PBB. The blue lines represent struts (compressive load), and the red lines represent cables.



**Figure 5. Optimized Truss Structure**

The weight reduction possible by adding complexity is dramatic, lest anyone think that all this computing is unnecessary. Figure 6 shows the drop in weight of the truss as joints are added, using a topology similar to that shown in Figure 5.

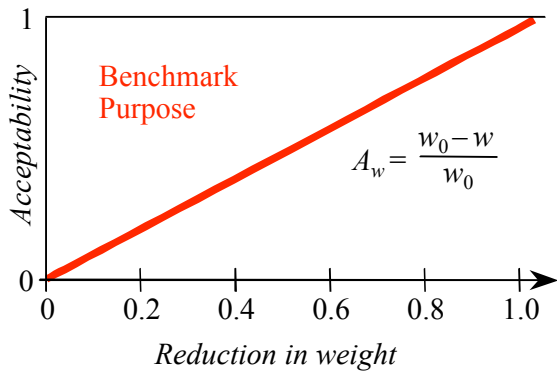


**Figure 6. Weight Reduction with Complexity**

**2.3.4 Acceptability Functions.** The most important  $A_i$  are the ones that show Acceptability as a function of the reduction in weight, the accuracy of the answer, the time to perform the run, and the cost of the computer run. Many others could be included, but let’s start with these.

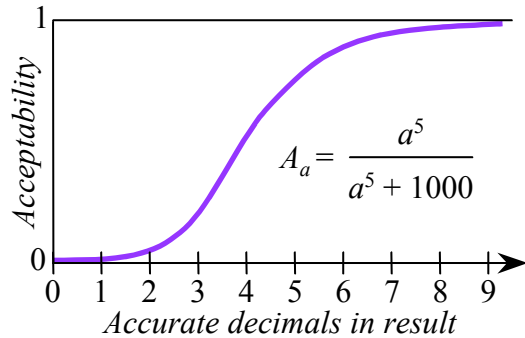
The initial guess is to connect single beams and cables from the attachment points to the load point. This is the heaviest solution and easy to compute, so it defines the starting value. If we are unable to reduce the weight, the Acceptability is zero. If we could by some incredibly ingenious method reduce the weight to nothing (a sort of gossamer structure), then Acceptability would be one. The purpose that defines this purpose-based benchmark thus becomes one of the Acceptability functions. Acceptability = (Reduction of weight) divided by (Initial weight). This is a simple linear function of the weight  $w$  and the unoptimized weight  $w_0$ :

$$A_w = (w_0 - w)/w_0:$$



**Figure 7. Truss Benchmark Purpose Acceptability Function**

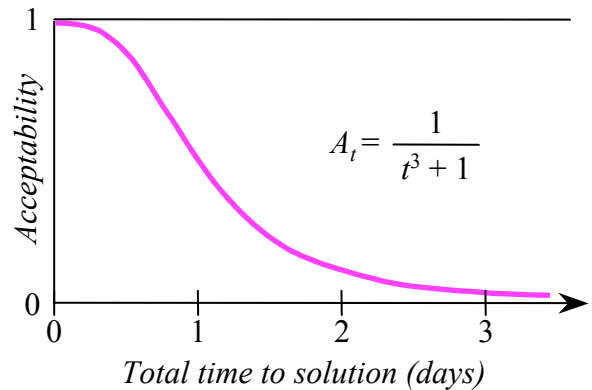
We can estimate the Acceptability function for the accuracy of the answer. This function and the ones that follow are purely for purposes of illustration, and the function is an invented one that “looks right”; it must be replaced by one based on actual studies of user requirements. For now, it serves as a number the computer can calculate at the end of a benchmark run, using higher-quality (and slower) arithmetic than was used during the run:



**Figure 8. Accuracy Acceptability**

The time to perform the run captures the patience typical of practitioners in this application area, which is often dictated by the time required for the entire task. The actual construction of a truss might require a few days to a few weeks, for example, so few engineers would care about the difference between an instantaneous answer and one that required, say, 15 minutes of computation. It is typical for structural analysis programs to be adjusted in complexity to the point where a job started at the end of the business day is finished by the beginning of the next business day... about 15 hours. A run of less than 7 hours might be well accepted (since it allows two runs per business day), but acceptance might drop to less than 0.5 if a run takes an entire day.

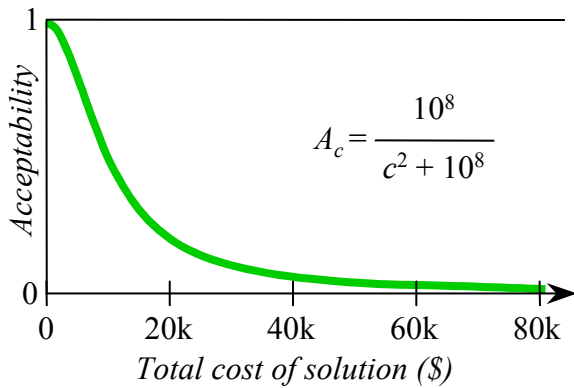
The following curve was constructed to fit these estimates.



**Figure 9. Acceptability of Solution Time**

The cost of the run similarly yields a decreasing Acceptability function. At first, the cost would appear to be tied simply to the cost of the steel that is saved, which is probably only a few thousand dollars. However, a characteristic of the structural analysis segment is that the computation insures against a design failure that could cost lives or result in catastrophic destruction of property. No one would consider insuring a truss structure that had been designed without a quantitative analysis regarding its strength and safety, because failure could cost millions of dollars in lawsuits. The reasoning is similar to that used by actuaries to determine the cost of liability insurance.

It is conceivable that some small fraction of designers would be willing to spend over \$50,000 to certify that a structure meets all safety requirements while optimizing some aspect of the design. At the other extreme, it may be petty to reduce the cost of the computer run below, say, 0.1% of the cost of actually building the structure. Here is an example of a possible Cost acceptability function:



**Fig. 10. Acceptability of Cost**

There are other Acceptability functions that could be put into the Net Acceptability product, such as reliability (fraction of runs that complete successfully), but we stop with these four for now:  $A = A_w A_a A_t A_c$ .

This is a radical departure from the typical way that cost and time are incorporated into benchmark reporting. Many productivity measures use ratios to cost or ratios to time. This approach makes more sense for traditional business computing, where cost and time are accurately regarded as linearly disadvantageous. Taking twice as long or costing twice as much is clearly half as productive for a given amount of output. This is much less the case for high-end, technical computing.

**2.3.5 Result Reporting and Verification.** The Truss benchmark produces an output that would be sufficient for an engineer to create the structure: the list of joints, their position in space, the members that connect to them, whether the members are cables or struts, and the length and cross-section of each member. Since the input requirements have a random component to prevent “cheating” on the benchmark, it is not immediately obvious how to verify that the output is valid.

In addition to the output information listed above, the benchmark requires that a list of forces impinging on each joint be printed, and the *sum* of those forces. This makes clear whether the linear system was actually solved, since the total force on every joint should be zero. The list of forces is not so large that a human cannot scan it for validity, and of course, the computer can easily compute the maximum deviation from zero of the list of net joint forces as a single-value test of validity. We envision that the numerical verification of the answer will use containment set methods that go well beyond the arithmetic used to obtain the answer, and will be considered part of the execution. These tests are in development at present.

## 2.4 OBJECTIONS TO THE PBB APPROACH

The PBB approach was first presented to audiences in late 2002. Some of the common reactions and responses follow.

*You shouldn't reduce performance to a single number. It's clearly a multidimensional quantity.*

Yes, but if you don't define a way to reduce it to a single number, someone else will. Their summation probably will not be the one you envisioned. Therefore, I strongly recommend that any benchmark present the multidimensional data *and* try to specify the single figure of merit that best correlates with the way users would coalesce all those values. Ultimately, people will reduce any collection of aspects to a single number that determines whether they are willing to pay the price for the system. The Acceptability Functions communicate what the assumed importance is of each aspect of the system, but anyone can take the component measures and apply their own set of Acceptability Functions to the same metrics. See [Smith 1988] for an in-depth discussion of the single-number reporting issue.

*Since the PBB rules are so loose, doesn't that make it easy to cheat?*

On the contrary, it makes cheating impossible by defining it away. Cheating is possible only when there is a difference between user goals and what is actually measured. In the ten years that HINT has been available, not a single method of cheating has been found; this is for the reason stated. Imagine that someone finds a way to “cheat” by predicting the weather more accurately with less effort. How could that possibly be considered cheating? If some discrepancy *is* found between the user goals and what the PBB measures, then the PBB is simply redefined to eliminate the discrepancy.

*You have to be a real domain expert to use one of these things.*

Not if we do our job right. While we need a domain expert to construct the benchmark (and supply Acceptability criteria), understanding a PBB description shouldn't require a specialized degree in mechanical engineering or finance or meteorology to understand. Both the purpose and the explanation of how to solve the problem should be accessible to the educated public. The Truss benchmark, for example, requires only high school physics to program once we provide the rules for the strength of the cables and struts. Most of these problems require a one-

page lesson in the specific math and physics they require, but if they require more than that, we probably have an unusable PBB and should redesign it.

*Doesn't this just measure the cleverness of the programmers instead of the system? What will happen if someone comes up with a very clever way to solve the problem?*

Whatever clever method is used, it must be shared with everyone as part of the reporting of the benchmark. Others can then choose to use the technique or not. Furthermore, since PBBs can (and should) take into account the development cost, the use of clever programmers or extensive tuning effort will show up as reduced Acceptability in the development cost aspect.

*I don't see how to make my workload purpose-based.*

The PBB approach doesn't work universally; at least it doesn't yet. An example of a workload that is difficult to make purpose-based is: "Run a simulation showing two galaxies colliding." Checking the simulations against actual experiment could take a long time indeed. There is no attempt to establish the accuracy of the answer, since the value of the computation is the qualitative insight it provides into an astrophysical phenomenon. While we acknowledge the importance of programs for which the output is judged in a non-numerical way, we do not currently have a benchmark approach that encompasses them.

*Why multiply the Acceptability Functions together? Wouldn't a weighted sum be better?*

A weighted sum makes sense for many "productivity" definitions, such as each stage in a software life cycle, but "acceptability" has different implications as an English word. The reason for using products is that *one unacceptable parameter means the entire system is unacceptable*. That's easier to do with products than with weighted sums. Imagine a system that is affordable, fast, and easy to program, but has just one problem: It never gets the right answer. Should that failure be thrown into a weighted sum as just one more thing to consider, or should it be given multiplicative "veto power" over the single-number rating? There may be aspect pairs that represent tradeoffs, where poorness in one aspect is compensated by excellence in another, and then a weighted sum would be the right model. The Acceptability Product is similar to what one sees in formal procurements for computer systems. The Acceptability of the system is the logical AND of all the requirements being met, and not expressed as tradeoffs.

*I have a computer program that solves a very interesting problem; can we make it into a Purpose-Based Benchmark?*

Many people have presented the author with programs from their area of interest and suggested that they be used to define a PBB. The usual obstacle is that there is no quantitative definition of how well the program achieves its purpose, and the person has no idea how to compare two completely different methods of solving the problem that take the same amount of time but get different answers. Once that's done, the rest of the task of converting it to a PBB is straightforward.

*What you're proposing is too difficult.*

If what you want to measure is Productivity, it's difficult to see how it can be made simpler.

## 2.5 FUTURE WORK

One lesson from history is that benchmark definitions are difficult to change once they are widely disseminated. Hence, we are doing very careful internal testing of the PBBs before including them as part of a published paper. We are testing the Truss PBB with college programming classes right now. We will create versions in various languages and with various parallelization paradigms (message passing, global address space, OpenMP) to use as starting points for those who wish to test just the execution characteristics of a system. Once these have had sufficient testing, we will disseminate them through the Internet and traditional computing journals. While the temptation was great to include an early version of a code definition of Truss in an Appendix, this would almost certainly result in that becoming the community definition of the benchmark prematurely.

A second PBB that involves radiation transport is nearly complete in its English and mathematical definition. An I/O-intensive PBB based on satellite image collection, comparison, and archiving is under review by experts. A weather/climate PBB consists almost entirely of defining the quality of a prediction, and we expect to use existing public-domain weather models as reference implementations instead of attempting to develop our own. We are well along in the creation of a biological PBB based on the purpose of using computers to find the shapes and properties of proteins.

For each PBB, we are finding domain experts to review the benchmarks and verify that the workloads are representative and give initial feedback on the Acceptability Functions. Eventually, the Acceptability Functions should be determined by statistical survey of users

in particular application areas, and updated periodically. A third-party institution such as IDC might be ideal for this task.

## SUMMARY

The Purpose-Based Benchmark approach represents the latest in an evolving series of improvements to the way computer systems are evaluated. The key is the expression of an explicit purpose for a computation, and a way to measure progress toward that goal as a scalar value. They are particularly well suited to technical computing because they solve the long-standing problem of comparing computers that give “different answers” because of floating-point arithmetic variation. Furthermore, the Acceptability Function approach provides a way to express the nonlinearity of user requirements for aspects of the computation, including the Purpose.

## ACKNOWLEDGMENTS

This work would not have occurred without the impetus of DARPA’s High-Productivity Computing Systems program. By identifying the need for quantitative measures of “productivity,” DARPA has advanced the state of high-end computing in new and far-reaching ways. The author also wishes to thank John Busch, manager of the Architecture Exploration group at Sun Labs, for tenaciously driving and supporting approaches to computer metrics that enable breakaway system design.

## AUTHOR BIOGRAPHY

*John Gustafson* received his B.S. degree from Caltech, and his M.S. and Ph.D. degrees from Iowa State University, all in Applied Mathematics. He was a software engineer at the Jet Propulsion Laboratory in Pasadena, a senior staff scientist and product development manager at Floating Point Systems, and a member of the technical staff at Sandia National Laboratories in Albuquerque, NM. He founded the Scalable Computing Laboratory within Ames Laboratory, USDOE, and led computational science research efforts there for 10 years. He joined Sun Microsystems in 2000; he is currently the application architect for Sun’s HPCS work. His research areas are High performance computing, Performance Analysis, Parallel architectures, Numerical analysis, Algorithms, and Computer graphics.

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